



# Heat dissipation and thermopower in atomic-scale junctions

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# Outline





- Introduction
- Heat dissipation and thermopower in atomic-scale junctions
  - Motivation
  - Experimental approach
  - Theory
  - Tuning the heating
  - Conclusions
- Influence of vibrations on electron transport
  - Method
  - Gold junctions
  - Octane-based junctions

# Miscellaneous

- Plasmon-induced conductance enhancement in single-molecule junctions
- A current-driven single-atom memory





# Introduction

### **Visions of molecular electronics**





- Limits of miniaturization of electrical circuits
- Functional and possibly cheap devices at the smallest scale
- Understanding of interface-related or interface-dominated electronic behavior

#### Single-molecule transistor [J. Park *et al.*, Nature 2002]



#### Molecule-gold nanoparticle array

[S. J. van der Molen et al., Nano Lett. 2009]





# Atomistic modeling of charge transport





Real system



#### Goals

- Understand charge transport mechanisms at the molecular scale
- Quantitative description/prediction of charge transport properties

#### Challenges

- Material- and molecule-specific ab-initio description of the electronic structure; choice (large system size): DFT (**TURBOMOLE**)
- Determination of stable contact geometries (geometry optimization, **TURBOMOLE**)
- Consideration of electrodes and their coupling to the central scattering region  $\rightarrow$  infinite, nonperiodic system
- Description of electric transport (Nonequilibrium due to finite bias/current, excitation of vibrations, ...)

# Landauer scattering theory and Green's functions



#### Conductance

$$G = G_0 \tau(E_F) = G_0 \operatorname{Tr}[tt^{\dagger}] = G_0 \sum \tau_i$$

Transmission matrix

**Cluster-based ansatz** 

 $t(E) = \sqrt{\Gamma_L(E)} G_{CC}^r(E) \sqrt{\Gamma_R(E)}$ Conductance quantum  $G_0 = 2e^2 / h$  Green's function  $G_{CC}^{r} = \left(ES_{CC} - H_{CC} - \Sigma_{L}^{r} - \Sigma_{R}^{r}\right)^{-1}$ Self energies (X=L,R)  $\Sigma_{X}^{r} = \left(H_{CX} - ES_{CX}\right)g_{XX}^{r}\left(H_{XC} - ES_{XC}\right)$ Linewidth broadening matrix  $\Gamma_{X} = -2 \operatorname{Im}\left[\Sigma_{X}^{r}\right]$ 

#### [F. Pauly et al., New J. Phys. 10, 125019 (2008)]



# Level alignment at metal-molecule contact





Metal-molecule contact

# Physical effects influencing level alignment

- Interfacial charge rearrangement
- Quantum mechanical coupling
- Nonlocal surface polarization



#### Energy level diagram

## Spectral adjustment: "DFT+Σ"

S. Y. Quek et al., Nano Lett. 7, 3477 (2007)

#### **GW** quasiparticle methods

M. Strange et al., PRB 83, 115108 (2011).

# Why thermopower?



Seebeck effect



Landauer-Büttiker formalism

**Thermopower** (electronic contribution):

$$S = -\frac{\Delta V}{\Delta T} \bigg|_{I=0} \approx -\frac{\pi^2 k_B}{3e} k_B T \frac{\partial \ln(\tau(E))}{\partial E} \bigg|_{E=E_F}$$

• Sign of S distinguishes "*electron* type" and *"hole* type" conduction; hint to position of  $E_F$  with respect to HOMO and LUMO

Experiments (Segalman/Majumdar, Berkeley)

- P. Reddy et al., Science 315, 1568 (2007)
- J. A. Malen *et al.*, Nano Lett. 9, 1164 (2009)

Recently also other groups

- J. R. Widawsky et al., Nano Lett. 12, 354 (2012)
- C. Evangeli *et al.*, Nano Lett. 13, 2141 (2013)
- S. Guo et al., Nano Lett. 13, 4326 (2013)



#### Theoretical studies of the thermopower





#### Length dependence

 $\tau(E) \approx \alpha(E) \exp(-\beta(E)N)$ 

$$S = S^{(0)} + S^{(1)}N$$

Exp.: Theory:

P. Reddy *et al.*, Science 2007 ry: F. Pauly *et al.*, PRB 2008



*N*=3



# Influence of conjugation

F. Pauly *et al.*, PRB 2008 M. Bürkle *et al.*, PRB 2012 **C**<sub>60</sub> junctions S. Bilan et al., PRB 2012



# **Towards thermoelectrics**



#### **Thermoelectric elements**

- Conversion of waste heat into electrical energy
- Nanorefrigerators

Figure of merit:  $ZT=S^2GT/\kappa$ 

Thermopower STemperature TElectric conductance GThermal conductance  $\kappa$ 



R. A. Segalman (UC Berkeley): R.Y. Wang *et al.*, Nano Lett. 2008

Ultimate Goal: Enhancement of ZT through appropriate nanostructuring Here: Study of G and S













# Heat dissipation and thermopower in atomic-scale junctions



W. Lee, K. Kim, W. Jeong, L. A. Zotti, F. Pauly, J. C. Cuevas, and P. Reddy, Nature 498, 209 (2013)

L. A. Zotti, M. Bürkle, F. Pauly, W. Lee, K. Kim, W. Jeong, Y. Asai, P. Reddy, J. C. Cuevas, arXiv:1307.8336

#### Why heat dissipation at the nanoscale?

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Stability and efficiency of novel nanoscale energy conversion devices

E. Pop, Nano Research **3**, 147 (2010)

Related **Peltier effect** important for electronic cooling of nanocircuits etc.

Heat dissipation at the nanoscale

F. Giazotto *et al.*, Rev. Mod. Phys. **78**, 217 (2006) J.T. Muhonen *et al.*, Rep. Prog. Phys. **75**, 046501 (2012)

Heat dissipation provides insight into the transmission characteristics of a nanoscale device.

This work.

Heat dissipation is closely linked to heat transport, a main open problem in nanoscience.

> Y. Dubi, Y. & M. Di Ventra, Rev. Mod. Phys. 83, 131 (2011)
> N. B. Li *et al.*, Rev. Mod. Phys. 84, 1045 (2012).

#### Heat dissipation in atomic-scale junctions



• Dimensions of the contact region in atomic-scale junctions are much smaller than the inelastic scattering length.

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→ Transport is elastic and heat dissipation takes place inside the electrodes.



Where is the heat dissipated? Is it equally dissipated in both electrodes? What is the relation between the dissipated heat and the electronic structure of the atomic-scale contact?

#### **Recent experimental efforts: Au atomic chains**

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Asymmetric heating: Hot electrons create hotspots downstream of electron flow?





# Heat dissipation and thermopower in atomic-scale junctions

Motivation Experimental approach Theory Tuning the heating Conclusions

### Scanning probes with integrated thermocouple







# Strategy for probing heat dissipation

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R<sub>Probe</sub> : Thermal resistance of probe

#### **Power dissipation:**

$$Q_{Probe}(V) + Q_{Substrate}(V) = Q_{Total}(V) = I \times V$$

 $R_{\text{Probe}} = 72800 \pm 500 \text{ K/W}$  and  $S_{TC} = 16.3 \pm 0.2 \ \mu\text{V/K}$ 

# **Key experimental details**





 The fabricated probes are much stiffer than traditional scanning thermal microscopy probes so as to enable stable formation of atomic and molecular junctions.

K. Kim, W.H. Jeong, W.C. Lee & P. Reddy, ACS Nano 6, 4248 (2012).

- The expected temperature changes in the thermocouple for the studied AMJs (< 100 mK) are smaller than the electrically-induced temperature noise (~ 200 mK). For this reason, *a modulation scheme is used to reduce the bandwidth and the electrical noise* (see next slides).
- A small thermal time constant (~ 10 µs) of the thermocouple enables high fidelity tracking of temperature changes.
- With the modulation scheme *the noise equivalent temperature is well* below 1 mK for all the cases studied in our work.

# **BDNC single-molecule junctions**

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Conductance peak at  $0.002G_0$  compatible with M. Kiguchi *et al.*, APL 89, 213104 (2006).

# BDNC junctions: Representative raw data and modulation scheme

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Here, a positive (negative) bias corresponds to a scenario where the probe is grounded, while the substrate is at a higher (lower) potential.



# **BDNC junctions: Representative raw data and** modulation scheme

 $\Delta T_{TC}$  (mK)

0

0

 $V_M = 1.27 \text{ V}$ 

 $Q_{Total} = 0.35 \text{ mW}$ 

1 period = 80 ms

1.27 a V (V) 0 -1.27 0.3 I (μΑ) b 0 -0.3 1 period 300 - C  $\Delta T_{TC}$  (mK) 0 -300 122 periods 25  $\Delta T_{TC}$  (mK) 0 15 1225 periods  $\Delta T_{TC}$  (mK) 0 15

20

40

Time (milliseconds)

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6125 periods

80

60



# **BDNC single-molecule junctions**



J. C. C. C.

A positive (negative) bias corresponds to a scenario where the probe is grounded, while the substrate is at a higher (lower) potential.



- Heat dissipation in the electrodes of Au-BDNC-Au junctions is bias-polarity-dependent and unequal.
- Why is the heat dissipation in the electrodes unequal in spite of the symmetric geometry of the molecular junctions?





# Heat dissipation and thermopower in atomic-scale junctions

Motivation Experimental approach Theory Tuning the heating Conclusions

# Landauer theory of heat dissipation



Heat current from a reservoir with potential  $\mu$ 

$$I_Q = I_E - \frac{\mu}{e} I_e$$

Heat current Energy current Charge current

#### Charge and energy currents

$$I_e(V) = \frac{2e}{h} \int_{-\infty}^{\infty} \tau(E, V) [f_P(E, \mu_P) - f_S(E, \mu_S)] dE$$

$$I_{E}(V) = \frac{2}{h} \int_{-\infty}^{\infty} E\tau(E, V) [f_{P}(E, \mu_{P}) - f_{S}(E, \mu_{S})] dE$$

Power dissipated in the electrodes

$$Q_{P}(V) = \frac{2}{h} \int_{-\infty}^{\infty} (\mu_{P} - E)\tau(E, V) [f_{P}(E, \mu_{P}) - f_{S}(E, \mu_{S})] dE$$
$$Q_{S}(V) = \frac{2}{h} \int_{-\infty}^{\infty} (E - \mu_{S})\tau(E, V) [f_{P}(E, \mu_{P}) - f_{S}(E, \mu_{S})] dE$$

 $Q_P(V) + Q_S(V) = IV = Q_{Total}(V)$  [energy conservation]





### **General conclusions**

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# L ....

• Is the heat equally dissipated in both electrodes?

$$Q_P(V) = Q_S(V)$$
 if  $\tau(E,V) = \tau(-E,V)$ 

[electron-hole symmetry implies equal dissipation]

• Does the power dissipation depend on the bias polarity?

$$Q_P(V) = Q_P(-V)$$
 if  $\tau(E,V) = \tau(-E,-V)$ 

[in general, the power dissipated in the probe depends on bias polarity]

**General conclusion:** the heat dissipation in the electrodes of an atomic-scale contact is, in general, asymmetric and it depends on both the bias polarity and the nature of the majority carriers (electrons vs. holes).

Low-bias expansions to illustrate the statements above

$$Q_{P}(V) - Q_{P}(-V) = 2TGSV + O(V^{3})$$

$$\begin{cases} T = \text{temperature} \\ G = \text{linear conductance} \\ S = \text{thermopower} \end{cases}$$

# Asymmetric heat dissipation: a simple argument

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#### Substrate



- A electron flows elastically through the contact with energy *E* leaving behind a hole.
- The electron thermalizes and dissipates an energy  $(E-\mu_s)$  in the substrate.
- The hole left in the probe is filled by an electron releasing an energy (μ<sub>P</sub>-E) in the probe.
- Power balance

$$Q_P(V) = \frac{2}{h} \int_{-\infty}^{\infty} (\mu_P - E) \tau(E, V) [f_P - f_S] dE$$
$$Q_S(V) = \frac{2}{h} \int_{-\infty}^{\infty} (E - \mu_S) \tau(E, V) [f_P - f_S] dE$$
$$Q_P(V) + Q_S(V) = IV$$

→ An energy-dependent transmission leads to asymmetric heating.

# Lessons from a toy model

Often the transport through a molecular junction is dominated by a single molecular orbital. Those situations can be described within the **single-level model**.



Metal Molecule Metal

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# **Single-level model**

In off-resonant situations, the relation between  $Q_P$  and  $Q_{Total}$  is not very sensitive to the level alignment. T = 300 K



Low-power expansion in the off-resonant situation ( $|\varepsilon_0| >> \Gamma$  and T = 0 K)

$$Q_{P}(Q_{Total}) \approx \begin{cases} \frac{1}{2}Q_{Total} + \operatorname{sgn}(\varepsilon_{0}) \frac{e}{6G_{0}^{1/2}} \frac{1}{\Gamma}Q_{Total}^{3/2} & \text{(for negative bias)} \\ \frac{1}{2}Q_{Total} - \operatorname{sgn}(\varepsilon_{0}) \frac{e}{6G_{0}^{1/2}} \frac{1}{\Gamma}Q_{Total}^{3/2} & \text{(for positive bias)} \end{cases}$$

# **BDNC single-molecule junctions: Comparison between theory & experiment**

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Approximation: Use zero-bias transmission

$$I(V) = \frac{2e}{h} \int_{-\infty}^{\infty} \tau(E, V = 0) [f_P - f_S] dE \Longrightarrow Q_{Total}(V) = I(V) \times V$$
$$Q_P(V) = \frac{2}{h} \int_{-\infty}^{\infty} (\mu_P - E) \tau(E, V = 0) [f_P - f_S] dE$$

# BDNC single-molecule junctions: Comparison between theory & experiment

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# Heat dissipation and thermopower in atomic-scale junctions

Motivation Experimental approach Theory Tuning the heating Conclusions

# **BDA single-molecule junctions**

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Conductance peak at 0.005G<sub>0</sub> compatible with L. Venkataraman *et al.*, Nano Lett. 6, 458 (2006).

### **BDA single-molecule junctions**





The BDA junctions show larger power dissipation in the probe for a positive bias than for a negative one in contrast to the observations in BDNC junctions.

# **BDA single-molecule junctions: Comparison between theory and experiment**

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The BDA junctions show larger power dissipation in the probe for a positive bias because the transport is dominated by the HOMO, which leads to positive Seebeck coefficient.

# **BDA single-molecule junctions: Comparison between theory and experiment**

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### **Gold single-atom contacts**

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In Au atomic junctions the heat is equally dissipated in both electrodes irrespective of the magnitude or polarity of the bias voltage. This is due to the weak energy dependence of the transmission around the Fermi energy.





# Heat dissipation and thermopower in atomic-scale junctions

Motivation Experimental approach Theory Tuning the heating Conclusions

# Conclusions





- Novel scanning tunnelling probes with integrated thermocouples allow to probe heat dissipation in the electrodes of atomic and molecular junctions.
- The good agreement between measured and computed asymmetries in the heat dissipation confirms that heat dissipation is intimately related to the transmission characteristics of the junctions, as predicted by the Landauer theory.
- Our results contradict recent claims of asymmetric heat dissipation in Au atomic junctions, which are not in agreement with theoretical predictions.
- The insights obtained here regarding heat dissipation should hold for any mesoscopic system where charge transport is predominantly elastic. Such systems include semiconductor nanowires, two-dimensional electron gases, semiconductor heterostructures, carbon nanotubes, graphene, etc.

## Perspectives

 Peltier cooling & heat transport in atomic-scale junctions may be explored by extension of the developed techniques.

W. Lee, K. Kim, W. Jeong, L. A. Zotti, F. Pauly, J. C. Cuevas, and P. Reddy, Nature 498, 209 (2013)L. A. Zotti, M. Bürkle, F. Pauly, W. Lee, K. Kim, W. Jeong, Y. Asai, P. Reddy, J. C. Cuevas, arXiv:1307.8336





# Influence of vibrations on electron transport

# Method

Gold junctions Octane-based junctions

# Inelastic electron tunneling spectra (IETS)

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Energy of vibrational mode:  $\hbar\omega$ 

Conductance changes if the voltage is  $eV \approx \hbar \omega$ .

Second derivative of the current shows changes clearly

Goal: Determine vibrational modes and e-vib couplings from first principles (DFT)

See for instance:

J. K. Viljas et al., Phys. Rev. B 72, 245415 (2005)

J. C. Cuevas and E. Scheer, *Molecular Electronics*, World Scientific Pub. Co. (2010)



#### Inelastic transport method

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$$H = H_e + H_{vib} + H_{e-vib} \qquad H_e = \sum_{i,j} d_i^{\dagger} H_{ij} d_j \quad H_{vib} = \sum_{\alpha} \hbar \omega_{\alpha} b_{\alpha}^{\dagger} b_{\alpha} \qquad H_e = \sum_{i,j} \sum_{\alpha} d_i^{\dagger} \lambda_{ij}^{\alpha} d_j \left( b_{\alpha}^{\dagger} + b_{\alpha} \right) + b_{\alpha} b_{\alpha}^{\dagger} b_{\alpha} \qquad H_e = \sum_{i,j} \sum_{\alpha} d_i^{\dagger} \lambda_{ij}^{\alpha} d_j \left( b_{\alpha}^{\dagger} + b_{\alpha} \right) + b_{\alpha} b_{\alpha}^{\dagger} b_{\alpha} \qquad H_e = \sum_{i,j} \sum_{\alpha} d_i^{\dagger} \lambda_{ij}^{\alpha} d_j \left( b_{\alpha}^{\dagger} + b_{\alpha} \right) + b_{\alpha} b_{\alpha}^{\dagger} b_{\alpha} \qquad H_e = \sum_{i,j} \sum_{\alpha} d_i^{\dagger} \lambda_{ij}^{\alpha} d_j \left( b_{\alpha}^{\dagger} + b_{\alpha} \right) + b_{\alpha} b_{\alpha}^{\dagger} b_{\alpha} \qquad H_e = \sum_{i,j} \sum_{\alpha} d_i^{\dagger} \lambda_{ij}^{\alpha} d_j \left( b_{\alpha}^{\dagger} + b_{\alpha} \right) + b_{\alpha} b_{\alpha}^{\dagger} b_{\alpha} \qquad H_e = \sum_{i,j} \sum_{\alpha} d_i^{\dagger} \lambda_{ij}^{\alpha} d_j \left( b_{\alpha}^{\dagger} + b_{\alpha} \right) + b_{\alpha} b_{\alpha}^{\dagger} b_{\alpha} \qquad H_e = \sum_{i,j} \sum_{\alpha} d_i^{\dagger} \lambda_{ij}^{\alpha} d_j \left( b_{\alpha}^{\dagger} + b_{\alpha} \right) + b_{\alpha} b_{\alpha}^{\dagger} b_{\alpha} \qquad H_e = \sum_{i,j} \sum_{\alpha} d_i^{\dagger} \lambda_{ij}^{\alpha} d_j \left( b_{\alpha}^{\dagger} + b_{\alpha} \right) + b_{\alpha} b_{\alpha}^{\dagger} b_{\alpha} \qquad H_e = \sum_{i,j} \sum_{\alpha} d_i^{\dagger} \lambda_{ij}^{\alpha} d_j \left( b_{\alpha}^{\dagger} + b_{\alpha} \right) + b_{\alpha} b_{\alpha}^{\dagger} b_{\alpha} \qquad H_e = \sum_{i,j} \sum_{\alpha} d_i^{\dagger} \lambda_{ij}^{\alpha} d_j \left( b_{\alpha}^{\dagger} + b_{\alpha} \right) + b_{\alpha} b_{\alpha} b_{\alpha} b_{\alpha} b_{\alpha} b_{\alpha} + b_{\alpha} b_{\alpha} b_{\alpha} + b_{\alpha} b_{\alpha} b_{\alpha} b_{\alpha} + b$$

$$\lambda_{ij}^{\alpha} = \sqrt{\frac{\hbar}{2\omega_{\alpha}}} \sum_{k,\mu} \left\langle i \left| \nabla_{k\mu} H_{e} \right|_{\vec{Q}=0} \right| j \right\rangle A_{k\mu,\alpha}$$

- → Implementation in TURBOMOLE by M. Bürkle using density functional perturbation theory (DFPT)
- $\rightarrow$  "analytical" derivatives
- $\rightarrow$  available in TURBOMOLE 6.6

# Lowest-order expansion of current in electron-vibration coupling

$$I = I_{el} + \delta I_{el} + I_{inel}$$

$$I_{el} = \frac{2e}{h} \int dE \operatorname{Tr}[G^{r} \Gamma_{R} G^{a} \Gamma_{L}] (f_{L} - f_{R})$$

$$\delta I_{el} = \frac{4e}{h} \int dE \operatorname{Re}\operatorname{Tr}[\Gamma_{L} G^{r} \Sigma_{e-\text{vib}}^{r} G^{r} \Gamma_{R} G^{a}] (f_{L} - f_{R})$$

$$I_{inel} = -i \frac{2e}{h} \int dE \operatorname{Tr}\left[G^{a} \Gamma_{L} G^{r} \left\{(f_{L} - 1)\Sigma_{e-\text{vib}}^{<} - f_{L} \Sigma_{e-\text{vib}}^{>}\right\}\right\}$$

Transport theory:

J. K. Viljas, J. C. Cuevas, F. Pauly, and M. Häfner, Phys. Rev. B 72, 245415 (2005) M. Bürkle, J. K. Viljas, T. J. Hellmuth, E. Scheer, F. Weigend, G. Schön, F. Pauly, arXiv:1309.4552





# Influence of vibrations on electron transport

Method Gold junctions Octane-based junctions

#### **Elastic transport in gold junctions**

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## Inelastic transport in gold junctions





M. Bürkle *et al.*, arXiv:1309.4552





# Influence of vibrations on electron transport

Method Gold junctions Octane-based junctions

#### **Elastic transport in octane-based junctions**

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M. Bürkle et al., arXiv:1309.4552

#### **Inelastic transport in octane-based junctions**











# Miscellaneous

#### **Plasmon-induced conductance enhancement**

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#### **Plasmon-induced conductance enhancement**



Radiation creates oscillating bias voltage  $V_{AC}$  on top of  $V_{DC}$  due to plasmonic response.

P. K. Tien and J. P. Gordon, Phys. Rev. 1963 J. K. Viljas *et al.*, Phys. Rev. B 75, 075406 (2007) N. Ittah *et al.*, Nano Lett. 9, 1615 (2009)

$$G_{dc}(\omega) = G_0 \sum_{n=-\infty}^{\infty} J_n^2(\alpha) \tau(E_F + n\hbar\omega)$$

with  $\alpha = eV_{ac} / \hbar \omega$ 

$$\alpha \ll 1 \text{ and } \tau(E_F), \tau(E_F + \hbar\omega) \ll \tau(E_F - \hbar\omega)$$
$$eV_{ac} = 2\hbar\omega \sqrt{\frac{G_{dc}(\omega) - G_{dc}(0)}{G_0\tau(E_F - \hbar\omega)}}$$

 $V_{ac} \approx 0.17$  V; field enhancement  $\approx 1100$ 



M. Vadai, N. Nachman, M. Bürkle, F. Pauly, J. C. Cuevas, and Y. Selzer, J. Phys. Chem. Lett. 4, 2811 (2013)

#### A current-driven single-atom memory

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C. Schirm, M. Matt, F. Pauly, J. C. Cuevas, P. Nielaba, and E. Scheer, Nature Nanotechnology 8, 645 (2013) See also News & Views: S. J. van der Molen, Nature Nanotechnology 8, 622 (2013)

### Thanks to





#### ... my collaborators ...

- Marius Bürkle (Postdoc), Thomas Hellmuth (PhD), Gerd Schön (KIT)
- Juan Carlos Cuevas (UA Madrid)
- Elke Scheer & Group (U Konstanz)
- Peter Nielaba & Group (U Konstanz)
- Paul Leiderer & Group (U Konstanz)
- Marcel Mayor & Group (U Basel)
- Thomas Wandlowski & Group (U Bern)
- Pramod S. Reddy & Group (U Michigan)

#### Open position: 1 Postdoc/PhD



# MB (Japan)





## ... and funding agencies







MINISTERIUM FÜR WISSENSCHAFT, FORSCHUNG UND KUNST

# **Summary**





- Heat dissipation and thermopower in atomic-scale junctions
- Influence of vibrations on electron transport
  - Gold junctions
  - Octane-based junctions

# Miscellaneous

- Plasmon-induced conductance enhancement in single-molecule junctions
- A current-driven single-atom memory
- → Improved understanding of charge transport at smallest scale by measurement of several quantities: Conductance, IETS, thermopower, heating, …

# Outlook

- Phonon transport
  - Realistic ZT, including more than just electronic contributions